

Harold D. Lasswell: An Annotated Bibliography

RODNEY MUTH
MARY M. FINLEY
MARCIA F. MUTH

New Haven Press
NEW HAVEN, CONNECTICUT
and
Kluwer Academic Publishers
DORDRECHT, THE NETHERLANDS

Library of Congress Cataloging in Publication Data

Muth, Rodney.
Harold D. Lasswell : an annotated bibliography / Rodney Muth, Mary
M. Finley, Marcia F. Muth.
p. cm.
Includes index.
ISBN 0-7923-0018-1
1. Lasswell, Harold Dwight, 1902--Bibliography. 2. Social
sciences--Bibliography. I. Finley, Mary M. II. Muth, Marcia F.
III. Title.
ZB485.9.M87 1989
[H59.L34]
016.3--dc20

89-31413

ISBN 0-7923-0018-1

Grateful acknowledgment is made to the following for permission to quote from copyrighted materials:

From *Harold Dwight Lasswell, 1902-1978: In Commemoration and Continuing Commitment*. Quoted by permission of Myres S. McDougal and W. Michael Reisman, literary executors of the estate of Harold Dwight Lasswell.

From *Politics, Personality, and Social Science in the Twentieth Century: Essays in Honor of Harold D. Lasswell*, ed. Arnold A. Rogow. Copyright 1969 by The University of Chicago. Reprinted by permission of the publisher, The University of Chicago Press.

From *Harold D. Lasswell on Political Sociology*, ed. Dwaine Marvick. Copyright 1977 by the University of Chicago. Reprinted by permission of the publisher, The University of Chicago Press.

The following institutions also have generously given permission for quotation from their materials:

From the Harold D. Lasswell Papers, Yale University Library. Quoted by permission of Yale University Library.

From Minutes, Rockefeller Foundation, New York. Quoted by permission of the Rockefeller Foundation.

Published by Kluwer Academic Publishers,
P.O. Box 17, 3300 AA Dordrecht, The Netherlands

Sold and distributed in the U.S.A. and Canada
by Kluwer Academic Publishers,
101 Philip Drive, Norwell, MA 02061, U.S.A.

In all other countries, sold and distributed
by Kluwer Academic Publishers Group,
P.O. Box 322, 3300 AH Dordrecht, The Netherlands

All Rights Reserved

© 1990 by New Haven Press, New Haven, Connecticut, U.S.A.

No part of the material protected by this copyright notice may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying, recording or by any information storage and retrieval system, without written permission from the copyright owner.

Printed in the Netherlands

Contents

Preface	vii
Acknowledgments	ix
Introduction to the User	xiii
Harold Dwight Lasswell: A Biographical Profile	1
Published Works	
1920s	49
1930s	58
1940s	89
1950s	127
1960s	167
1970s	220
1980s	267
Undated	278
Forthcoming Works	279
Unpublished Works	281
Index of Names, Subjects, and Titles	311

[This page is intentionally blank]

The seeds for this bibliography were sown when one of us, Rodney Muth, had the good fortune to work with Harold Lasswell at the Mershon Center at The Ohio State University during the 1970s. From this five-year association with Lasswell grew a desire to know more about Lasswell's thinking, to learn more about what he had written, and, eventually, to update his bibliography. Two previous bibliographies considerably assisted our initial work.¹ Although valuable sources, these two bibliographies were not annotated and were incomplete because they were published during Lasswell's active career. Nevertheless, they supplied a useful starting point for our additional research. Besides using standard scholarly reference works, we had complete access to Lasswell's papers and materials at Manuscripts and Archives in the Yale University Library, at the Yale Law Library, and at the Policy Sciences Center then in New York. In addition, our searches also eventually included the libraries of California State University, Northridge; Columbia University; and Fordham University as well as the Library of Congress, the New York Public Library, and the resources of Inter-Library Loan.

Our work began in 1982, three and one-half years after Lasswell's death. Seven years later, in 1989, the project ended, though in some respects it is not complete. Normal cataloging and indexing procedures are not designed to account for someone as productive or as far ranging as Lasswell. Despite our years of research and our efforts to follow numerous clues in Lasswell's correspondence and papers, we are confident that additional works by Lasswell will yet turn up. We would greatly appreciate information from readers about additional fugitive articles or other materials, uncatalogued

¹ See Harold D. Lasswell, *Harold D. Lasswell on Political Sociology*, ed. Dwaine Marvick (Chicago: University of Chicago Press, 1977) 425-443; and Arnold A. Rogow (ed.), *Politics, Personality, and Social Science in the Twentieth Century: Essays in Honor of Harold D. Lasswell* (Chicago: University of Chicago Press, 1969) 407-443.

reviews or comments, foreign-language publications or reprints, unpublished papers in the files of colleagues or institutions, or any other materials that have escaped our attention. In addition, even more original material by Lasswell is yet to be published (see *Forthcoming Works*, page 279), and reprints, particularly of Lasswell's "classics," will be issued for years to come.

Acknowledgments

At his death in 1978, Harold D. Lasswell left behind the products of an intensive life – the publications and papers of a prolific writer and the loyal friendships of a generous person. In consequence, we are indebted both to the organizations that have conserved and shared with us his tangible production and to his many friends who have assisted us and reminded us of his less tangible but equally enduring effects on the world around him.

First, we appreciate the considerate and professional assistance supplied to us by the staff of the Manuscripts and Archives division of the Yale University Library where the Harold D. Lasswell Papers are collected. Special thanks go to Patricia B. Stark, then Principal Reference Archivist, for facilitating our use of the Lasswell papers when they were still just eighty-odd cartons of papers and memorabilia, newly arrived at Manuscripts and Archives. Besides simplifying our use of the papers, she welcomed and encouraged us on our many trips to the Yale Library, as did Gloria P. Locke, Archives Assistant. As our work continued over several years, we appreciated our ongoing conversation about the Lasswell papers with Diane E. Kaplan, Archivist, who catalogued the materials. For continuing this hospitality during the last stages of our work, we are grateful to Judith A. Schiff, Chief Researcher.

Several other libraries have helped us as well. The staff of the Yale Law Library allowed us unrestricted access to the remainder of Lasswell's personal library and to other materials in their keeping. We appreciate the consideration and patience of Nellie E. Ermilie and her successor, Jo-Anne Giammattei, Acquisitions Librarian. In addition, we wish to thank the Inter-Library Loan unit at California State University, Northridge, for their conscientious efforts over several years in helping us locate and review materials. Finally, for permitting us access to the files and materials of the Policy Sciences Center, then located in New York City, as well as his personal library, we are grateful to Jeremiah B. McKenna.

Besides these institutions, many individuals have generously assisted us. Foremost among these are Myres S. McDougal, Sterling Professor of Law,

Emeritus, and W. Michael Reisman, Wesley Newcomb Hohfeld Professor of Jurisprudence, both of the Yale University Law School and both the literary executors of Lasswell's estate. Their assistance began when they helped us gain early access to the Lasswell papers. Throughout our work, their confidence in the significance of this project, their personal regard and affection for Harold Lasswell, and their specific advice about bibliographic entries and issues has been invaluable. Charles F. Hermann, Director of the Mershon Center at The Ohio State University, has not only advised us and supported this project but also coordinated reviews of an early version of this bibliography so that we might profit from the comments of Lasswell's colleagues and other interested scholars.

Many individuals have sent us references, clarified information, and reviewed early drafts of the bibliography and the biographical essay. We are particularly grateful to Richard C. Snyder, former Director of the Mershon Center, who originally introduced us to Harold Lasswell, both personally and intellectually. He and Joseph M. Goldsen were especially helpful in their extensive and useful suggestions about the broad issues involved in developing and organizing a bibliography and in supplying details about Lasswell's career and publications.

Other specific information and advice has come from many people to whom we are also indebted. Among these are Gabriel A. Almond, Stanford University; William Ascher, Duke University; Garry D. Brewer, Yale University; Ronald D. Brunner, University of Colorado, Boulder; Karin Doving, Urbana, Illinois; Lawrence Z. Freedman, Institute of Social and Behavioral Pathology and University of Chicago; Margaret G. Hermann, The Ohio State University; Derek McDougall, Melbourne College of Advanced Education; John D. Montgomery, Harvard University; Stanley Renshon, Graduate School and University Center of the City University of New York; Douglas Torgerson, Trent University; and especially the indefatigable Steven R. Brown of Kent State University.

Many others also helped us as we progressed. Dr. Herbert F. Neuwalder kindly assisted us in annotating several articles written in German. Marie Donnantuono typed an early draft of the bibliography, and Karen Marshall moved most of the entries and annotations to word processing files. The staff at Dragonfly Software answered many detailed questions about using their powerful word processor, Nota Bene, for this kind of project.

Finally, we are grateful in so many ways to Andrew Willard. Besides simplifying our contacts with others at Yale University, he has consistently, generously, and patiently helped us in locating and using the many Lasswell materials available at Yale. More personally, he has welcomed and encouraged us on our many trips to New Haven, often supplying exactly the friendly support we needed.

* * *

Without financial and other tangible support, this bibliography would have been difficult to complete. We are particularly grateful to the following organizations for their assistance:

The Mershon Center at The Ohio State University and its director, Charles F. Hermann, for the support needed to computerize the bibliography;

The National Endowment for the Humanities, Travel to Collections Program of the Division of Research Programs, under Grant RY-20150-84, for funds to cover travel expenses for many of our trips to use the Harold D. Lasswell Papers housed at Manuscripts and Archives, Yale University Library;

Fordham University, especially Jay Sexter, former Assistant Executive Vice President, and Max Weiner, Dean of the School of Education, for underwriting research, typing, and duplication expenses;

The Wichita State University for supporting the final preparations for publication.

Introduction to the User

[This page is intentionally blank]

As the table of contents indicates, this volume includes a biographical essay on Lasswell's life and career, followed by the bibliographic entries, arranged as published, forthcoming, and unpublished works. After these entries is a general index which lists titles of all publications and materials included, names of Lasswell's coauthors and coeditors, titles of books reviewed, authors of books reviewed, and subjects.

SCOPE OF THE BIBLIOGRAPHY

Given the great range of materials produced by Lasswell, we wanted to make this bibliography as inclusive as possible. It lists all types of published and unpublished materials, whether written or in other media. It includes items listed in many standard scholarly reference works – journal indexes, citation indexes, the National Union Catalog, and other social science reference sources – as well as those located or mentioned only among his papers.

Each item listed has been located and personally examined by one of us unless otherwise noted. In the few cases in which materials have been identified through reliable references but have not been physically available to us, the notation NPE (Not Personally Examined) follows the entry. Given the duration of this project, the inherent problems of coauthorship, and our occasional very human lapses, some inaccuracies are inevitable. Such errors appear with our regrets and apologies, and we look forward to receiving corrections or refinements from readers.

Among published works, the bibliography includes books, pamphlets, chapters, articles, conference proceedings, non-English articles, reviews, introductory or prefatory comments, afterwords or concluding comments, tapes, films, translations, reprints, and other materials that have been brought into public circulation. Of these categories, books, pamphlets, chapters, and periodical publications in the United States are most complete.

Translations published abroad are least complete, primarily because such works are difficult to identify and then to verify as bibliographic entries.

The listings for unpublished items include papers, presentations, memoranda, drafts, and similar items. Nearly all of these unpublished entries are available among the Harold D. Lasswell Papers at Manuscripts and Archives, Yale University Library. Some of these items were presented publicly but were not prepared for printed form. The unpublished entries do not include drafts or versions of works later published unless the later publication was not identified as such. The bibliography also excludes correspondence which, like the unpublished materials, is primarily available among Lasswell's papers at the Yale University Library. Given Lasswell's extensive correspondence with many notable figures, distinguishing letters from memos or drafts was occasionally difficult, and his letters often comment at length on substantive topics.

In addition to the inherent complexity of classifying Lasswell's unpublished papers, our initial examination of them preceded their processing and arrangement by the Yale University Library. Furthermore, we separately examined many papers now moved to the Yale University Library, including all those at the Policy Sciences Center and a few supplied to us personally by Lasswell's colleagues. Consequently, we might now classify or interpret certain items differently, given the present arrangement of his papers.

Finally, we attempted to include among the unpublished entries only those materials with definite attributions of authorship although this, too, was not always clear. Naturally, Lasswell's papers included many drafts, memos, and other papers written by others as well as unsigned papers, unlisted here yet doubtless his own.

ARRANGEMENT OF ENTRIES

The entries for published works are arranged chronologically from 1923 to 1988, followed by undated and forthcoming works. Within each year are sections for books, pamphlets, articles (including book chapters), comments (including forewords, introductions, and similar brief items), book reviews, materials in other media (such as films and tapes), translations, and reprints. The items under each heading are arranged alphabetically by title. Book reviews are arranged alphabetically by the titles of the books reviewed. Following the original publication information for each entry is a chronological list of reprints to assist the reader in locating the item. Each reprint thus appears twice—once following the entry for the original publication and once based on the publication date of the reprint. Unpublished items are arranged alphabetically by the title or heading appearing on the item. Because these

headings may include words such as *memorandum* or *topic*, a reader may need to refer to the index and then look carefully for a specific item.

ANNOTATIONS

Brief annotations are supplied for books, pamphlets, articles, and chapters. The annotations, prepared by all three authors, supply several sentences describing, but not evaluating, the entries. Depending on the length and nature of an item, we reviewed its introduction, conclusion, headings, table of contents, or other useful parts. As possible, we skimmed or quickly read shorter works or significant sections of longer works. Our purpose was not to provide a complete synopsis but simply to assist a reader by identifying, in condensed form, the content and approach of each work. For a brief overview of some of Lasswell's key concepts, see "Harold Dwight Lasswell: A Biographical Profile," beginning on page 1.

No annotations are supplied for comments, reviews, nonprint items, translations, or reprints. For each reprint, however, the date of original publication is supplied immediately after the title, followed by publication and pagination information for the reprinted version. Many reprints also have appeared under different titles. In such a case, the original title, placed in parentheses, follows the date of original publication. Original dates and titles of translated works are presented in similar fashion. For reprints, the parenthetical note also supplies available information about the completeness of the reprint, distinguishing revisions, adaptations, short excerpts, selections, and shortened abridgements. Besides clarifying precisely which item is reprinted, this system simplifies locating the annotated entry, listed under the date of original publication and followed by the full list of reprints for the work (placed in chronological order by date of first publication and then alphabetically by title).

FORMAT OF ENTRIES

The entries have been prepared using modifications of the bibliographic style recommended by the Modern Language Association (MLA). Unless otherwise noted, all items are the work of Lasswell as sole author. The basic formats for major types of entries follow.

Books

Title. By coauthors or coeditors. Edition. Series. Place of publication: publisher, year. Total pages.

Articles (or Chapters)

"Article Title." *Journal Title* volume (year): pages.

"Chapter or Article Title." *Book Title*. Editor. Place of publication: publisher, year. Pages.

"Title of Encyclopedia Article." *Encyclopedia Title*. Edition/year. Pages.

Comments

Foreword (or Other Title). *Book Title*. By author. Place of publication: publisher, year. Pages.

Reviews

Title of Book Reviewed, by author of book. "Review Title." *Title of Periodical* volume (year): pages.

Reprints

Title of Reprint. Year of original publication (*Original Title*, if different). Place of reprint publication: reprint publisher, year of reprint publication.

"Title of Reprint." Year of original publication ("Original Title," if different, or source of selection). *Title of Reprint Collection*. Editor. Place of reprint publication: reprint publisher, year of reprint publication. Pages.

Unpublished Works

"Title." Descriptive information. Format (typescript, typescript reproduction). Location of item. Total pages.

ABBREVIATIONS USED IN ENTRIES

c.	about
comp.	compiled by
diss.	dissertation
ed.	edited by; edition
enl. ed.	enlarged edition
ms.	manuscript
no.	number
n.p.	no publisher; no place of publication
n. pag.	no pagination
NPE	not personally examined
n.s.	new series (in journal numbering)
orig.	originally
orig. date	original date
orig. pag.	original pagination
orig. title	original title
p., pp.	page, pages
pag.	pagination
proc.	proceedings
prod.	produced by
pt.	part
rev.	review
rev. ed.	revised edition
rpt.	reprint, reprinted
trans.	translated by
ts.	typescript
ts. reprod.	typescript reproduction
vol.	volume